IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHARLES L. COMPTON et al.

Serial No.:

Unknown

Filed:

Filed Herewith

For:

METHOD AND PACKET-LEVEL DEVICE FOR TRAFFIC

REGULATION IN A DATA NETWORK

Attorney Docket No.: CCCI 0110 PUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(1)

Mail Stop Patent Application Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and PTO/SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

If applicable, a copy of each reference listed on the attached Form PTO/SB08B or any foreign cited art is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

CHARLES L. COMPTON et al.

Date: October 21, 2003

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Substitute for Form 1449A/PTO				Complete if Known		
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STATEMENT BY APPLICANT			PLICANI	First Nam d Invent r	Charles L. Compton	
				Group Art Unit		
(u	se as many sheets	s as ne	cessary)	Examiner Name		
Sheet	1	of	2	Attorney Docket Number	CCCI 0110 PUS	

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. PATENT D	OCUMENT Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		5,289,462		Ahmadi et al.	02-22-1994		
		6,147,970		Troxel	11-14-2000		

	FOREIGN PATENT DOCUMENTS							
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-96) [reproduced]
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known		
				Applicati n Number		
				Filing Date	Filed Herewith	
			PLICANI	First Named Inventor	Charles L. Compton	
				Group Art Unit		
	(use as many sh	eets as	s necessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	CCCI 0110 PUS	
	OTHER F	PRIO	R ART NON P	ATENT LITERATUR	RE DOCUMENTS	
Include name of the author (in Examiner item (book, magazine, journal, s					ticle (when appropriate), title of the te, page(s), volume-issue number(s), blished.	T²
		Cisco IOS Quality of Service Solutions Configuration Guide, <u>Policing and Shaping Overview</u> , (Cisco Systems, Inc., May 2003), pp. QC-203 - QC-218				
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Examiner	Date	
Signature	Consider d	

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